

# Anatomy of a Background Investigation



## PERSONAL INFORMATION

- Where has the subject resided over the past 15+ years?
- What is the subject's marital status?
- Are there any family ties with respect to business and personal endeavors?



## HUMAN INTELLIGENCE

- Do former colleagues, employers, business partners or opponents in litigation have any relevant information regarding the subject's character or additional facts which may not have been reported in the public domain?



## PROFESSIONAL HISTORY

- What is the subject's employment history and what are the identities of their prior employers?
- Is the information that they publicize accurate?
- Is there any relevant information omitted from their resume or social profile?
- Were there any controversies at a prior employer which could explain a misrepresentation?
- Did they accurately disclose their education history?



## REGULATORY ISSUES

- Has the subject (or their business affiliates) ever been involved in any state or federal regulatory complaints?
- Does the subject hold any professional business licenses or professional designations?
- Has there ever been any complaints or disciplinary actions taken against him/her?
- Has the subject ever been sanctioned by a State or Federal regulatory agency?



## FINANCIAL STATUS

- Has the subject been a party to any civil judgments, state or federal tax liens?
- Has the subject previously filed for bankruptcy protection or been party to a tax grievance with the U.S. Tax Court?
- Are there any noteworthy issues or potential red flags in the subject's credit report?



## BUSINESS INTERESTS

- Is the subject connected with any domestic or foreign-based corporations, nonprofit organizations, charitable foundations, or political action committees?
- Have any of these affiliated entities been the subject of prior controversy, bankruptcy, litigation or sanctions?



## NEWS MEDIA/INTERNET SOURCES

- Has the subject been the focus of or referenced in any mainstream domestic or international news media?
- Does the subject maintain any personal blogs, web sites or social networks?
- What is the subject matter for the information which they are posting?
- What are followers saying about them?



## ASSETS

- Does the subject personally own any Real Property or other tangible assets such as Motor Vehicles, Aircraft or Watercraft?
- Does the individual own any assets through entities which they may control?
- Has the subject previously pledged any assets as collateral in state Uniform Commercial Code filings?
- Has the subject ever been a significant shareholder in a publicly-traded company?
- Has the subject ever served as an Officer or Board Member in any corporations or limited liability companies?



## CRIMINAL/CIVIL CASES

- Has the subject ever been convicted of a local, state or federal crime?
- Has the subject ever been involved in any state or federal civil litigation?
- Do the details of the litigation filings provide any other relevant information?
- Is the subject a litigious person?